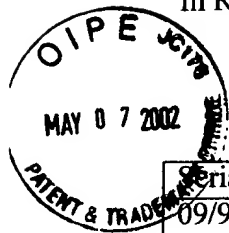


#8

**RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION FILING DATE  
GRANTED (PTO-1533) (SMALL ENTITY)**

In Re Application Of: **Larry Routhenstein, Roy L. Anderson, William R. Bryant, Jr. and  
Jacob Y. Wong**



Serial No.	Filing Date	Examiner	Group Art Unit
09/960,714	September 21, 2001		

**Invention: METHOD FOR GENERATING CUSTOMER SECURE CARD NUMBER  
SUBJECT TO USE RESTRICTIONS BY AN ELECTRONIC CARD.**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**  
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application – Filing Date Granted (PTO-1533) mailed on 10/29/01.

Enclosed herewith for filing are the following:

- X A copy of the Notice to File Missing Parts of Application – Filing Date Granted (PTO-1533. **(Required)**)
- X An oath or declaration in compliance with 37 CFR 1.63 including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- X ONE verified small entity declaration(s)
  - X is/are attached
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund
- x Other (list): Petition Pursuant to 37 CFR §1.47(b) plus check for \$130.00

05/09/2002 BABRAHA1 00000020 09960714

01 FC:218

720.00 DP

TO THE ASSISTANT COMMISSIONER FOR PATENTS:  
Attention: Manager, Application Branch

X Completion of application fees as calculated below:

- |                          |  |                 |
|--------------------------|--|-----------------|
| X                        | Utility application filing fee   | <u>\$370.00</u> |
| <input type="checkbox"/> | Design application filing fee  | _____           |
| <input type="checkbox"/> | Total number of independent claims= <u>1</u>   | <u>\$0.00</u>   |
| <input type="checkbox"/> | Total number of claims= <u>16</u>  | <u>\$0.00</u>   |
| <input type="checkbox"/> | Multiple dependent claims  | _____           |
| X                        | Surcharge for late payment of filing fee and /or late filing of original declaration or oath | <u>\$65.00</u>  |
| <input type="checkbox"/> | Petition and fee for filing by other than all the inventors or person not the inventor       | _____           |
| <input type="checkbox"/> | Fee for processing an application filed with a non-English language specification            | _____           |
| <input type="checkbox"/> | Fee for processing and retention of application  | _____           |

**Total completion of application fees** **\$435.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One Month      ☐ Two Months      ☐ Three Months      ☐ Four Months      ☐ Five Months

from: \_\_\_\_\_ until: \_\_\_\_\_

**Total time extension fees** **\$720.00**

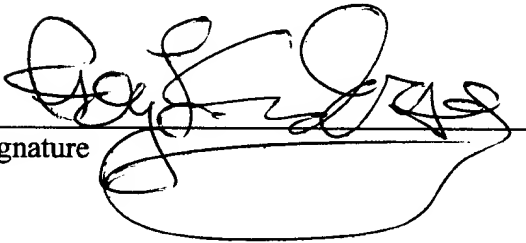
**Total fees due** **\$1,155.00**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:  
Attention: Manager, Application Branch

The fee of \$1,155.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☐ If any additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

Signature

A handwritten signature in black ink, appearing to be "G. J. S.", written over a horizontal line.

Dated : April 24, 2002

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COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 1

*JS*

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,714	09/21/2001	Larry Routhenstein	JSF35.017

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

CONFIRMATION NO. 4472

FORMALITIES LETTER



\*OC00000006984927\*

Roy L. Anderson, ESQ.  
Jeffers, Shaff & Falk, LLP  
Suite 1400  
18881 Von Karman Ave.  
Irvine, CA 92612

Date Mailed: 10/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)


*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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01 FC:201  
02 FC:205

370.00 DP  
65.00 DP